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UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark Office

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Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/414,722	10/07/99	NONOMURA	N 01489/P-2121

WENDEROTH LIND & PONACK LLP
2033 K STREET N W SUITE 800
WASHINGTON DC 20006



NOT ASSIGNED

2754

DATE MAILED:

11/04/99

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this **NOTICE** to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a
☐ small entity (statement filed) ☒ non-small entity is \$ 130.00

☐ 1. The statutory basic filing fee is:

- ☐ missing.
- ☐ insufficient.

Applicant must submit \$ _____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$ _____ for _____ total claims over 20.

\$ _____ for _____ independent claims over 3.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.
- ☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

THE COMMISSIONER IS AUTHORIZED
TO CHARGE ANY DEFICIENCY IN THE
FEE FOR THIS PAPER TO DEPOSIT
ACCOUNT NO. 23 0975.

Receipt

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Tomoyuki NONOMURA et al.

Serial No. 09/414,722

Filed October 8, 1999

DATA RECORDING AND
REPRODUCTION APPARATUS



Attn: Application Branch

Attorney Docket No.
01489/P-21219-01

RECEIVED
JAN 18 2000
RECEIVED
APR 21 2000
TECH CENTER 2700c 2700 MAIL ROOM

**REQUEST FOR CORRECTED FILING RECEIPT
TO CORRECT PTO MISTAKES**

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

It hereby is requested that the PTO issue a corrected Filing Receipt to correct the mistakes indicated in the enclosed photocopy.

These mistakes obviously are PTO mistakes, and therefore a fee is not required.

Accordingly, the corrected Filing Receipt should be issued immediately.

Respectfully submitted,

Tomoyuki NONOMURA et al.

By:

Jeffrey Noltan
Registration No. 25,408
Attorney for Applicants

JN/pth
Washington, D.C.
Telephone (202) 721-8200
December 16, 1999

FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/414,722	10/07/99	2754	\$760.00	01489/P-2121	18	16	1

WENDEROTH LIND & PONACK LLP
2033 K STREET N W SUITE 800
WASHINGTON DC 20006

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

~~TOMOYUKI NONOMURA~~
~~NONOMURA TOMOYUKI~~, OSAKA-SHI, JAPAN; ~~INOUE MITSUHIRO~~
OSAKA-SHI, JAPAN; ~~MINAMI MASATAKA~~, HYOGOKEN, JAPAN;
MASAYUKI KOZUKA, NEYAGAWA-SHI, JAPAN.

MASATAKA MINAMI

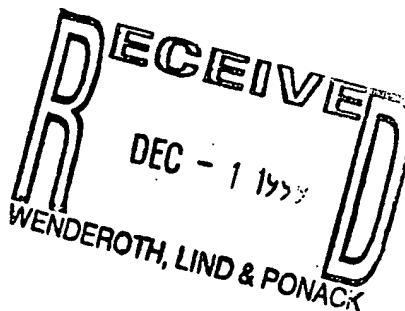
FOREIGN APPLICATIONS- JAPAN

HEI.10-287085 10/08/98

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 10/28/99

TITLE
DATA RECORDING AND REPRODUCTION APPARATUS

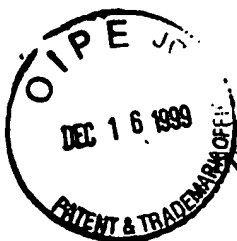
PRELIMINARY CLASS: 369



DATA ENTRY BY: HORNE, CHERYL

TEAM: 03 DATE: 10/28/99

(See reverse for new important information)



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FEE FOR THIS PAPER TO DEPOSIT
ACCOUNT NO. 23 0975.

Sector

#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : **Attn: BOX MISSING PARTS**
Tomoyuki NONOMURA et al. : **Docket No. 01489/P-21219-01**
Serial No. 09/414,722 :
Filed October 8, 1999 :
DATA RECORDING AND
REPRODUCTION APPARATUS :

PATENT OFFICE FEE TRANSMITTAL FORM

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

Attached hereto is a check in the amount of \$130.00 to cover Patent Office fees relating to filing the following attached papers:

Late Filing of Executed Declaration \$130.00

A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.

Respectfully submitted,

Tomoyuki NONOMURA et al.

By:

Jeffrey Bolton
Jeffrey Bolton
Registration No. 25,408
Attorney for Applicants

JN/pth
WENDEROTH, LIND & PONACK, L.L.P.
2033 K St., N.W., Suite 800
Washington, D.C. 20006
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December 16, 1999

[Check No. 36117]

99-1112*

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ACCOUNT NO. 23 0975..

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Tomoyuki NONOMURA et al.

Serial No. 09/414,722

Filed October 8, 1999



Attn: **BOX MISSING PARTS**

Docket No. 01489/P-21219-01

**DATA RECORDING AND
REPRODUCTION APPARATUS**

REPLY TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

In response to the Notice to File Missing Parts of Application dated November 4, 1999, submitted herewith is the required Declaration and Power of Attorney.


Also enclosed are the PTO surcharge of \$130.00, required by 37 CFR 1.16(e), and a copy of the Notice to File Missing Parts.

Favorable action on the merits is now requested.

Respectfully submitted,

Tomoyuki NONOMURA et al.

By:


Jeffrey Nolt
Registration No. 25,408
Attorney for Applicants

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